Application Checklist

Use this checklist to help you arrange the sections of the application in the correct order. ☐ Face Sheet with signature (3 pages) Explanation of budget deficit, if applicable Statement of Purpose/Mission Statement and History (not to exceed one page) Strategic Plan Summary (not to exceed two pages) Institutional Financial Statements Narrative (not to exceed seven pages) Schedule of Completion ☐ Project Budget Forms Summary Budget Detailed Budget ☐ Budget Justification Current, federally negotiated rate for indirect costs, if applicable ☐ Proof of Non-profit Status, if applicable Specifications for projects involving digitization, if applicable List of key project staff and consultants Resume(s) for key project personnel (not to exceed two pages per person) Attachments (not to exceed 20 pages) Grants Processing Information Sheet Signed Assurances Form

Face Sheet

OMB No. 3137-0048 08/31/2005 CFDA No. 45.301

Application Forms

1. Applicant Organization		CFDA No. 45
2. Institutional Mailing Address		
3. City	4. State	5. Zip Code
6. Web Address	7. DUNS Numl	ber (9 digits)
8. TIN Numbet (9 digits)		
9. Name and Title of Project Director \square Mr. \square Ms. \square Dr.	10. Business Pho	one of Project Director
11. Project Director Mailing Address		
12. City	13. State	14. Zip Code
15. Fax Number of Project Director	16. E-mail Addr	ress of Project Director
17. Name and Title of Authorizing Official	18. Business Pho	one of Authorizing Official
19. Authorizing Official Mailing Address		
20. City	21. State	22. Zip Code
23. Email Address of Authorizing Official		
24. Sponsoring institution if applicable (e.g., municipality. ☐ check if this entity will manage funds if an award is	<u>.</u>	dress:
25. Is the applicant organization university controlled?	yes 🗆 no	
26. Governing control of applicant State Tribal Government Other, please specify	Municipal 🗆 Co	ounty Private Non-Profit
27. Type of organization (check one) Aquarium Arboretum/Botanical Garden Art museum Children's/youth museum General museum* Historic house/site History museum	☐ Nature Center ☐ Natural History ☐ Planetarium ☐ Science/technole ☐ Zoo ☐ Specialized** ☐ Other	/Anthropology museum ogy museum

** A museum with collections limited to one narrowly defined discipline (e.g., textiles, stamps, maritime, ethnic group).

28. Museum's attendance Onsite	ce for the 12-month p Offsite		plication. ectronic		
29. Total number of hou	rs the museum was op	en to the public for th	e 12-month	period pri	or to application
30. Year the Museum w	as first open and exhi	biting to the public.			
31. Number of full-time	paid museum staff_	32. Nu	mber of par	t-time pa	id museum staff
33. Number of full-time	unpaid museum staff	34. Nu	mber of par	t-time un	paid museum staff
35.–36.		,			
Fiscal Year	Revenue/ Support/Income	Expenses/ Outlays	Budget Def (if applicab		Budget Surplus (if applicable)
Most recently completed FY 20	\$	\$	(\$)	\$
Second most recently completed FY 20	\$	\$	(\$)	\$
* If Institution has a budge sheet to explain the circum		two most recently comple	ted fiscal year.	s, please att	ach a single sheet behind this fac
37. Amount Requested	\$		_		
38. Amount of Matchin	g Funds \$				
39. Grant Period (must begin between	(Starting Date) 8/1/05 -10/31/05)		/	/	_ (Ending Date)
40. Please check project	type category (check o	only one category)			
☐ Sustaining Cultural	Heritage				
☐ Supporting Lifelong	; Learning				
☐ Serving as Centers of	of Community Engag	ement			
41. Project Title					

42. Institution Name		
43. In the space below, summarize the project activities (2	,000 maximum character count).	
44. Certification: Signature of Auth	norizing Official T	Date
organiture of Fidit		

Project Budget Form

SECTION 1: SUMMARY BUDGET

Name of Applicant Organization	·		
IMPORTANT! READ INSTRUCTION	ons on pages $3.4–3$.5 BEFORE PROCEEDING.	
DIRECT COSTS	IMLS	Applicant	Total
Salaries & Wages			
Fringe Benefits			
Consultant Fees			
Travel			
Materials, Supplies & Equipmen	VT		
Services			
Other			
TOTAL DIRECT COSTS	\$	\$	\$
INDIRECT COSTS	\$	\$	\$
		TOTAL PROJECT	COSTS \$
AMOUNT OF CASH-MATC	н	\$	
AMOUNT OF IN-KIND CO	NTRIBUTIONS	\$	
TOTAL AMOUNT OF MATC			
AMOUNT REQUESTED FRO	OM IMLS, INCL	UDING INDIRECT CO	STS \$
PERCENTAGE OF TOTAL P (MAY NOT EXCEED 50%)	ROJECT COSTS	REQUESTED FROM I	M L S %
Have you received or requested for (Please check one) ☐ Yes ☐	unds for any of the	se project activities from and	other federal agency?
If yes, name of agency			
Request/Award amount			

Project Budget Form

SECTION 2: DETAILED BUDGET

Year 🗌 1	☐ 2 ☐ 3 - Budget Period from	/	to	/ /
ame of Applicant Org	anization			
ИРОRTANT! Read in	istructions on pages 3.4–3.5 before	. PROCEEDIN	G.	
ALARIES AND WAN	No. METHOD OF COST COMPUTATION ()	IMLS	Applicant	Total
	() [()] TOTAL SALARIES AND WAGES \$			
ALARIES AND WAN	AGES (TEMPORARY STAFF H NO. METHOD OF COST COMPUTATION ()	IMLS	Applicant	Total
RINGE BENEFITS Rate	TOTAL SALARIES AND WAGES \$ SALARY BASE	IMLS	Applicant	Total
9,	% of \$			
ONSULTANT FEES Name/Type of Consulta		IMLS	Applicant	Total
RAVEL	TOTAL CONSULTANT FEES \$			
FROM/TO PERSONS D	AYS COSTS COSTS	IMLS	Applicant	Total
()(TOTAL TRAVEL COSTS \$ _			

Project Budget Form

SECTION 2: DETAILED BUDGET CONTINUED

Year \Box 1 \Box 2 \Box 3

MATERIALS, SUP ITEM	PLIES AND EQUIPMENT METHOD OF COST COMPUTATION	IMLS	Applicant	Total
TOTAL COST OF	MATERIALS, SUPPLIES, & EQUIPMENT	\$		
SERVICES Item	Method of Cost Computation	IMLS	Applicant	Total
	TOTAL SERVICES COSTS	\$		
OTHER Item	Method of Cost Computation	IMLS	Applicant	Total
	TOTAL OTHER COSTS			
	TOTAL DIRECT PROJECT COSTS	\$		
Applicant organiza A. An indirect cost	A or B and complete C. (See sectio	cent of mod		
Name of Federal A	Agency Expiration	Date of	Agreement	
Rate base Amount	(s) =	\$		
	IMLS Applicant		Total	
C Total Indirect	Costs \$ \$		\$	

Specifications for Projects Involving Digitization This form must be included if project involves digitization of collections or records for internal or external purposes.

1. Describe types of materials to be digitized (i.e., artifacts, maps, manuscripts, photographs, audio recordings,
video recordings, motion pictures) and number of each:
2. Identify copyright issues and other potential restrictions:
☐ Public Domain% of total ☐ Permissions have been obtained% of total
☐ Permissions to be requested% of total – Plan to address:
☐ Privacy Concerns% of total – Plan to address:
□ Other - Explain:
3. List the equipment, with specifications, whether purchased, leased, or outsourced, that will be used (e.g. camera, scanner, server):
4. Specify each type of file format (e.g., TIFF, JPEG) to be produced and anticipated image quality of each
(minimum resolution, depth, tone, pixels):
□ Master
□ Access
□ Thumbnail
☐ Formats for other media (e.g., audio, video, motion picture), include sampling rates, if applicable

5. Describe 1) the delivery medium that will be used and 2) the digital access management system or systems that will
be used to make this material available to others.
6. Describe the quality control plan:
- Describe the quarry control plant
7. Estimate cost per image. Include costs such as scanning, quality control and indexing. Indicate the basi
for calculation:
8. Explain how content will be discovered through metadata, including which standards you will use (e.g., MARC, EAD, Dublin Core):
9. Describe plans for preservation and maintenance of the digital files during and after the expiration of the grant period (i.e., storage systems, migration plans, and funding):
grant period (ne., storage systems, migration plans, and randing).
10. If you are producing collection-level records, describe plans for submitting collection-level descriptive
records to a bibliographic utility, such as Research Libraries Information Network (RLIN) or Online
Computer Library Center (OCLC):
11. Describe plans for submitting information about the project to a national level registry of digital resources, such as the Association of Research Libraries' Digital Initiatives Database
(http://www.arl.org/did/) or OCLC's Cooperative Online Resource Catalog
(http://www.oclc.org/corc):
12. Provide URL(s) for applicant's previously-digitized collections:

Grant Processing Information Sheet

ELIGIBILITY REQUIREMENTS

ALL IMLS MUSEUMS FOR AMERICA GRANT APPLICANTS MUST ANSWER THE FOLLOWING QUESTIONS.

Check the appropriate answer.

Check the appropriate	answer.	
a permanent basis for	nized as a public or priva essentially educational or Yes	ate non-profit institution that exists on aesthetic purposes? No
2. Does the museum of inanimate?	care for, and own or use	tangible objects, whether animate or
	□ Yes	□ No
3. Are these objects ex museum owns or oper		a regular basis through facilities the
-	□ Yes	□ No
1	n and exhibiting to the p Yes	ublic at least 120 days a year? □ No
	nary duty is the care, acq	e paid or unpaid staff member or the juisition, or exhibition to the public of
,	Yes	□ No

IMLS Assurances

The IMLS is required to obtain from all applicants certifications regarding federal debt status, debarment and suspension, non-discrimination, and a drug-free workplace. Applicants requesting more than \$100,000 in grant funds must also certify regarding lobbying activities and may be required to submit a "Disclosure of Lobbying Activities" (Standard Form LLL). Some applicants will be required to certify that they will comply with other federal statutes that pertain to their particular situation. These requirements are incorporated in the Assurances Statement below. Review the Statement and sign the certification form. If you receive a grant, you must comply with these requirements.

CERTIFICATION OF AUTHORIZING OFFICIAL

(The applicant organization's authorizing official should sign the following certification after all other parts of the application form have been completed)

I have examined this application, and I hereby certify on behalf of the applicant organization that

- 1) the information provided is true and correct and
- 2) all requirements for a complete 2005 IMLS application have been fulfilled and
- 3) the applicant is providing and will comply with the applicable certifications regarding federal debt status, debarment and suspension, nondiscrimination, drug-free workplace, and lobbying activities as set forth in the Assurances statement below.

Should my organization receive a grant, the organization and I will comply with all requirements of the IMLS Grants Regulations (45 CFR Part 1180 et seq.), all statutes outlined below, and all other applicable federal statutes and regulations.

Signature of Authorizing Official	Date
Name and Title of Authorizing Official (printed or typed)	
Mailing Address of Authorizing Official	
Business Phone of Authorizing Official	
E-mail Address of Authorizing Official	

IMLS Regulations are available upon request.

ASSURANCES STATEMENT

By signing the application form, the authorizing official, on behalf of the applicant, assures and certifies that, should a grant be awarded, it will comply with the statutes outlined below and all related IMLS regulations. These assurances are given in connection with any and all financial assistance from IMLS after the date this form is signed, but may include payments after this date for financial assistance approved prior to this date. These assurances shall obligate the applicant for the period during which the federal financial assistance is extended. The applicant recognizes and agrees that any such assistance will be extended in reliance on the representations and agreements made in these assurances, and that the United States government has the right to seek judicial enforcement of these assurances, which are binding on the applicant, its successors, transferees, and assignees, and on the authorized official whose signature appears on the application form.

I. CERTIFICATIONS REQUIRED OF ALL APPLICANTS

FINANCIAL, ADMINISTRATIVE, AND LEGAL ACCOUNTABILITY

The authorizing official, on behalf of the applicant, certifies that the applicant has legal authority to apply for federal assistance and the institutional, managerial, and financial capability (including funds sufficient to pay the non-federal share of project costs) to ensure proper planning, management, and completion of the project described in this application.

The authorizing official, on behalf of the applicant, certifies that the applicant will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. § 7501 et seq.) and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

The authorizing official, on behalf of the applicant, certifies that the applicant will comply with the provisions of OMB Circular No. A-110, "Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

FEDERAL DEBT STATUS

The authorizing official, on behalf of the applicant, certifies to the best of his or her knowledge and belief that the applicant is not delinquent in the repayment of any federal debt.

DEBARMENT AND SUSPENSION

The authorizing official, on behalf of the applicant, certifies to the best of his or her knowledge and belief that the applicant and its principals:

- (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
- (b) have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction, or in connection with a violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, makings false statements, or receiving stolen property;

- (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) have not within a three-year period preceding this application/proposal had one or more public transactions (federal, state or local) terminated for cause or default.

NON-DISCRIMINATION

The authorizing official, on behalf of the applicant, certifies that the applicant will comply with the following nondiscrimination statutes and their implementing regulations:

- (a) Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. § 2000 et seq.), which prohibits discrimination on the basis of race, color, or national origin;
- (b) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 701 et seq.), which prohibits discrimination on the basis of disability;
- (c) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-83, 1685-86), which prohibits discrimination on the basis of sex in education programs; and
- (d) the Age Discrimination in Employment Act of 1975, as amended (42 U.S.C. § 6101 et seq.), which prohibits discrimination on the basis of age.

DRUG-FREE WORKPLACE ACT OF 1988

- (A) The authorizing official, on behalf of the applicant, certifies that the applicant will or will continue to provide a drug-free workplace by:
 - (a) publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the action that will be taken against employees for violation of such prohibition;
 - (b) establishing an ongoing drug-free awareness program to inform employees about:
 - (1) the dangers of drug abuse in the workplace;
 - (2) the grantee's policy of maintaining a drug-free workplace;
 - (3) any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) the penalties that may be imposed on employees for drug abuse violations occurring in the workplace;
 - (c) making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
 - (d) notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will
 - (1) abide by the terms of the statement; and
 - (2) notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace not later than five calendar days after such conviction;
 - (e) notifying the agency in writing within ten (10) calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the federal agency has designated a central point for the receipt of such notices. Notices shall include the identification number(s) of each affected grant;
 - (f) taking one of the following actions within thirty (30) days of receiving notice under subparagraph (d)(2) with respect to any employee who is so convicted:

- (1) taking appropriate personnel action against such an employee, up to and including termination consistent with the requirements of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 701 et seq.); or
- (2) requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law or other appropriate agency; and
- (g) making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).
- (B) The applicant shall either identify the site(s) for the performance of work done in connection with the project in the application material or shall keep this information on file in its office so that it is available for federal inspection. The street address, city, county, state, and zip code should be provided whenever possible.

CERTIFICATION
REGARDING
LOBBYING
ACTIVITIES
(APPLIES
TO
APPLICANTS
REQUESTING
FUNDS IN
EXCESS OF
\$100,000)

- The authorizing official certifies, to the best of his or her knowledge and belief that:

 (a) no federal appropriated funds have been paid or will be paid, by or on behalf of the authorizing official, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of a federal contract, the making of a federal grant, the making of a federal loan, the entering into of a cooperative agreement, or the extension, continuation, renewal, amendment, or modification of a federal contract, grant, loan, or cooperative agreement.
- (b) if any funds other than appropriated federal funds have been paid or will be paid to any person (other than a regularly employed officer or employee of the applicant) for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the authorizing official shall request, complete, and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (c) the authorizing official shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

GENERAL CERTIFICATION

The authorizing official, on behalf of the applicant, certifies that it will comply with all applicable requirements of all other federal laws, executive orders, regulations, and policies governing the program.

II. CERTIFICATIONS REQUIRED OF SOME APPLICANTS

The following certifications are required if applicable to the project for which an application is being submitted. Applicants should be aware that additional federal certifications, not listed below, might apply to a particular project.

SUBAGREEMENTS

Applicants who plan to use awards to fund subgrants, contracts and subcontracts should be aware that they must receive the following certifications from applicants to grant programs and those who bid on contracts:

- (1) certification of compliance with the nondiscrimination statutes from institutional applicants and contractors, and
- (2) certification regarding debarment and suspension from applicants to grant programs (regardless of the amount requested) and from potential contractors and subcontractors who will receive \$100,000 or more in grant funds. Applicants are also required to include without modification the following wording in solicitations for all grant proposals and for contracts that are expected to equal or exceed \$100,000:
 - (a) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
 - (b) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

NATIVE
AMERICAN
HUMAN
REMAINS
AND
ASSOCIATED
FUNERARY
OBJECTS

The authorizing official, on behalf of the applicant, certifies that the applicant will comply with the provisions of the Native American Graves Protection and Repatriation Act of 1990 (25 U.S.C. § 3001 et seq.), which applies to any organization that controls or possesses Native American human remains and associated funerary objects, and which receives federal funding, even for a purpose unrelated to the Act.

HISTORIC PROPERTIES

The authorizing official, on behalf of the applicant, certifies that the applicant will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470f), Executive Order (E.O.) 11593, and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. § 469 et seq.).

ENVIRONMENTAL PROTECTIONS

The authorizing official, on behalf of the applicant, certifies that the project will comply with environmental standards, including the following:

- (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969, as amended (42 U.S.C. § 4321 et seq.) and Executive Order (E.O.) 11514;
- (b) notification of violating facilities pursuant to Executive Order (E.O.) 11738;
- (c) protection of wetlands pursuant to Executive Order (E.O.) 11990, as amended by Executive Order (E.O.) 12608;
- (d) evaluation of flood hazards in floodplains in accordance with Executive Order (E.O.) 11988, as amended.
- (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972, as amended (16 U.S.C. § 1451 et seq.); and

- (f) conformity of federal actions to State (Clean Air) Implementation Plans under section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.);
- (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (42 U.S.C. § 300f et seq.); and
- (h) protection of endangered species under the Endangered Species Act of 1973, as amended (16 U.S.C. §§ 1531-1543).

The authorizing official, on behalf of the applicant, certifies that the project will comply with the Wild and Scenic Rivers Act of 1968, as amended (16 U.S.C. §1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

The authorizing official, on behalf of the applicant, certifies that the applicant will comply with the flood insurance requirements of the Flood Disaster Protection Act of 1973, as amended (42 U.S.C. § 4001 et seq.), which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

The authorizing official, on behalf of the applicant, certifies that the project will comply with 45 C.F.R. Part 46 regarding the protection of human subjects involved in research, development and related activities supported by this award of assistance.

RESEARCH ON HUMAN AND ANIMAL SUBJECTS The authorizing official, on behalf of the applicant, certifies that the project will comply with the Laboratory Animal Welfare Act of 1966, as amended (7 U.S.C. § 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

For further information on these certifications, contact IMLS at 1100 Pennsylvania Avenue, NW, Room 609, Washington, DC 20506 or call (202) 606-8539.